

Programme:BALLB

Course: Investment and Competition Law

Course Code:24F.371

Enrolment no. _____

Full Marks: 70

Time: 3 Hrs.

Q.No.	Questions	CO	Bloom Taxonomy Category	Marks
Section I				
1	Short Answer type questions.			4 x 5 = 20
a	What are the various regulatory frameworks which govern securities laws in India?	CO1	Remember	
	or			
b	Define the term "Securities" as stated under Securities Contracts (Regulatory) Act of 1956.	CO1	Remember	
	or			
c	Enumerate the different ways in which banks issue securities.	CO2	Remember	
	or			
d	Discuss the regulatory framework governing the banks' role in issuing Securities.	CO2	Understand	
	or			
e	Explain the meaning and relevance of Joint Ventures.	CO3	Understand	
	or			
f	What are the similarities between FERA and FEMA?	CO3	Understand	
	or			
g	Explain the concept of Foreign Direct Investment.	CO3	Understand	
	or			
	What are anti-competitive agreements under Competition Act, 2002?	CO3	Understand	
Section II				
	Long Answer type questions.			3 x 10 = 30
2	Illustrate the various kinds of securities with the help of relevant examples.	CO1	Apply	
	or			
3	The Securities Contracts (Regulation) Act, 1956 enumerates the provision of Delisting of Securities. Discuss.	CO1	Understand	
	or			
4	DEMAT account is the most popular way to trade in shares. Discuss its existence under the Depositories Act, 1996.	CO2	Remember	
	or			
5	Compare and contrast the Recovery of Debts and Bankruptcy Act (RDB), 1993 and Securitisation and Reconstruction for Enforcement of Security Interest Act (SARFAESI), 2002.	CO2	Analyze	
	or			
6	Discuss the major shifts in India's foreign exchange regulatory regime from FERA to FEMA. How do these changes reflect India's liberalised trade policy and approach to foreign investments?	CO3	Apply	
	or			
	Distinguish between horizontal and vertical anti-competitive agreements. How does the Competition Act, 2002 deal with these two types of agreements?	CO3	Analyze	
Section III				
	Application based questions			1 x 20 = 20
7	SEBI board has been granted various powers under SEBI Act, 1992. Ascertain those powers as mentioned under the Act.	CO1	Evaluate	
	or			
	Present a chronological account of evolution of Securities and Investment Laws in India in the light of independence of India.	CO1	Apply	

COURSE OUTCOME

CO 1 Students will be able to practice case analyses and evaluation of corporate conduct.

CO 2 Students will be able to explain the economic, legal, and ethical implications of fraudulent behavior in financial markets.

CO 3 Students will be able to describe concepts such as fiduciary duty